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|--------------------------------|-------------------------------|----------------------|---------------------|------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/782,076 | 02/19/2004 | Chun-Cheng Lin | SINICA-08757 | 4532 |
| | 7590 05/16/200 ARROLL, LLP | EXAMINER | | |
| 101 HOWARD STREET SUITE 350 | | | GEORGE, KONATA M | |
| | SCO, CA 94105 | | ART UNIT | PAPER NUMBER |
| | | | 1616 | |
| | | | | |
| | | | MAIL DATE | DELIVERY MODE |
| | | | 05/16/2007 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | Application No. | Applicant(s) | | |
|---|--|--|--|--|--|
| | | 10/782,076 | LIN ET AL. | | |
| Office Action Summary | | Examiner | Art Unit | | |
| | | Konata M. George | 1616 | | |
| Period fo | The MAILING DATE of this communication app | pears on the cover sheet with | the correspondence address | | |
| | ORTENED STATUTORY PERIOD FOR REPL | VIS SET TO EXPIRE 2 MON | ITH(S) OR THIRTY (30) DAYS | | |
| WHIC - Exter after - If NC - Failu Any | CHEVER IS LONGER, FROM THE MAILING D. nsions of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. o period for reply is specified above, the maximum statutory period or to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICA 36(a). In no event, however, may a reply will apply and will expire SIX (6) MONTHS e, cause the application to become ABANI | TION. be timely filed from the mailing date of this communication. DONED (35 U.S.C. § 133). | | |
| Status | | | | | |
| 1)⊠ | Responsive to communication(s) filed on 05 F | ebruary 2007. | | | |
| 2a) <u></u> | This action is FINAL . 2b)⊠ This action is non-final. | | | | |
| 3)[| Since this application is in condition for allowa | | | | |
| | closed in accordance with the practice under E | Ex parte Quayle, 1935 C.D. 1 | 1, 453 O.G. 213. | | |
| Dispositi | ion of Claims | | | | |
| 4)⊠ | Claim(s) <u>1-10 and 12-15</u> is/are pending in the application. | | | | |
| | 4a) Of the above claim(s) is/are withdra | wn from consideration. | | | |
| · · | Claim(s) is/are allowed. | | | | |
| · · · · · · · · · · · · · · · · · · · | Claim(s) <u>1-10 and 12-15</u> is/are rejected. | | | | |
| · | Claim(s) is/are objected to. | | | | |
| 8)[_] | Claim(s) are subject to restriction and/o | or election requirement. | | | |
| Applicati | ion Papers | | | | |
| 9)[| The specification is objected to by the Examine | er. | | | |
| 10)⊠ | The drawing(s) filed on 19 February 2004 is/ard | | | | |
| | Applicant may not request that any objection to the | | | | |
| 11) | Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Ex | | | | |
| Priority u | under 35 U.S.C. § 119 | | | | |
| - | Acknowledgment is made of a claim for foreign | priority under 35 U.S.C. § 1 | 19(a)-(d) or (f). | | |
| | ☐ All b)☐ Some * c)☐ None of: | | • | | |
| | 1. Certified copies of the priority document | s have been received. | | | |
| | 2. Certified copies of the priority document | s have been received in Appl | lication No | | |
| | 3. Copies of the certified copies of the prio | • | ceived in this National Stage | | |
| | application from the International Burea | | | | |
| * 5 | See the attached detailed Office action for a list | of the certified copies not rec | eived. | | |
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| Attachmen | • • | | | | |
| | ce of References Cited (PTO-892) te of Draftsperson's Patent Drawing Review (PTO-948) | 4) Interview Sum Paper No(s)/M | mary (PTO-413) fail Date | | |
| 3) 🔯 Infon | mation Disclosure Statement(s) (PTO/SB/08) or No(s)/Mail Date 10/2/06. | | mal Patent Application | | |

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DETAILED ACTION

Claims 1-10 and 12-15 are pending in this application.

Information Disclosure Statement

1. The information disclosure statement (IDS) submitted on November 17, 2006 was noted and the submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the examiner has considered the information disclosure statement.

Action Summary

2. The rejection of claims 1-15 under 35 U.S.C. 112, first paragraph, is hereby withdrawn in view of applicants' arguments and amendment.

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

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Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

3. Claims 1-10 and 12-15 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claim 20 of copending Application No. 11/091,649. Although the conflicting claims are not identical, they are not patentably distinct from each other because both copending applications are directed towards a composition comprising a carbohydrate encapsulated nanoparticle, wherein, the nanoparticle comprises a core metallic nanoparticles and a plurality of carbohydrate molecules. The difference between the two is that the instant invention ('076) does not contain the matrix material of '649. The composition of the instant invention ('076) comprises open language and as such does not exclude additional components and is thus obivous. Although claim 20 of '649 is silent with respect to examples of the core metallic nanoparticle and the carbohydrate, paragraphs [0066 and 0078] of the '649 specification teaches examples of the metallic core as gold and the carbohydrate as mannose, glucose or galactose and is thus obvious.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Conclusion

4. Claims 1-10 and 12-15 are rejected.

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Telephone Inquiries

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Konata M. George, whose telephone number is 571-272-0613. The examiner can normally be reached from 8AM to 6:30PM Monday to Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Johann Richter, can be reached at 571-272-0646. The fax phone numbers for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have question on access to the Private Pair system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Konata M. George Patent Examiner Technology Center 1600

> Johann Richter, Ph.D., Esq. Supervisory Patent Examiner

Technology Center 1600